ABU DHABI CLEAR LLC (AD CLEAR)
FEE SCHEDULE

MEMBERSHIP SERVICES

Service Description	Fee (AED)
System Accounts Management	
Change a password	AED50
System Access Fees	
Access to Nasdaq Packaged Central Counterparty Clearing (PCCP) System	up to 6 users - Free 7 to 12 users - 20,000 / Year 13 users & up - 40,000 / Year
Annual Membership Fee	
Brokerage - Direct Clearing Member	AED 25,000 yearly
Settlement Agent	AED 25,000 yearly
General Clearing Member (GCM)	AED 75,000 yearly

CLEARING SERVICES

Service Description	Fee (AED)
Accounts Management	
Create / Activate / Deactivate Broker Trading Account in PCCP for DvP/custodian trade settlement	AED 100 per account per event
Moving clients between local custodians without change in beneficiary owner. Service charge is billed monthly.	AED 100/Investor per event
Clearing Fees	
Clearing Fees - Cash Equities Transactions	0.005% of the value of each transaction
Post Trade Management Activities	
Expiry Settlement Fee for Futures Contracts	2 basis points (bps) of Contract Value of open position at Expiry Date.
Trade Correction	AED 100 per transaction
Collateral Management	
Withdraw Cash from Collateral account when excess is available on margin account.	AED 100 per transaction
Late deposit of Collateral deposit	AED1,000.00
Penalties	
Late confirmation on (T+2)	None
Late confirmation on (T+3)	(0.75%) from the total value, with Minimum of (1,000) AED and Maximum (20,000) AED
Late confirmation on (T+4)	(0.75%) from the total value, with Minimum of (2,000) AED and Maximum (30,000) AED
Utilize the seller counter party for Buyer Cash Compensation to settle a failed sell trade	(0.75%) from the total value, with Minimum of (5,000) AED and Maximum (100,000) AED
Fail Settlement Charge/Penalty on T+3 and T+4 (daily charge)	(0.25%) from the total value, with Minimum of (2,000) AED