



Fees List

January 2008

IT Services Fees:

Type of Service	Fee (AED)	Comments
Broker On-Site Support	300 / Hour	
Broker Call Support	300 / Hour	
Password Reset	50	
FIX Connection	30,000 / Year	
FIX Test	7,000	
MDF Feed Set Up	5,000	
XML Feed Set Up	5,000	

Investors & Brokers' Fees:

Type of Service	Fee (AED)	Comments
Issue Investor Number: <ul style="list-style-type: none"> • Paper Card • Plastic Card (Hand Delivery) • Plastic Card (Registered Mail) • Replacing Lost Card (Hand Delivery) • Replacing Lost Card Registered Mail 	<ul style="list-style-type: none"> • Free • 10 / Card • 15 / Card • 10 / Card • 15 / Card 	
To Whom It May Concern Letter	50 / Letter / Investor	
Ownership Confirmation Letter	50 / Letter / Investor	
Statement of Account	10 / Investor	
Transfers from Client's Account at Broker to Client's Account at Registry	10 / Transfer Application	
Transfer of Foreign Companies	15 / Application	

Type of Service	Fee (AED)	Comments
Pledge*	0.15% of MKT value using previous day closing price with a minimum of AED 100 and a maximum of 1 million (non- refundable)	
Un-Pledge*	Free	
Family Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 30 from one side	
Inheritance Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 30 from one side	
Founders Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 30 from both sides	
Open Deed Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 200 from one side	
Court Order Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 30 from one side	
Joint Certificate Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 30 from one side	

• FOR DEBT INSTRUMENTS, THE FEES ARE 0.1 (1:10) OF THE FEES IMPOSED ON SHARES. MINIMUM AND MAXIMUM FEES REMAIN THE SAME.

Type of Service	Fee (AED)	Comments
Financial Settlement Transfer*	0.15% of MKT value using previous day closing price with a minimum of AED 30 from one side	
Other Types of Transfers* (Strategic Deal)	0.15% of MKT value using previous day closing price with a minimum of AED 1,000 from both sides	Subject to ADX's approval
Trade Correction After Settlement (After T+1)*	0.2% of trade value with a minimum of AED 100 from Broker requesting the correction	Subject to ADX's approval. The fee is in addition to commission
Reports for Brokers	AED 0.5 / record as a soft copy with a minimum of AED 300 and a maximum of AED 15,000 And / Or AED 200 for the first 20 pages and another AED 20 for each additional page with a maximum of AED 5,000 if hard copy is requested	

Listed Companies Fees:

Type of Service	Fee (AED)	Comments
Corporate Actions: <ul style="list-style-type: none"> • Capital Change • Stock Splits 	<ul style="list-style-type: none"> • 0.04% of par value with a minimum of AED 25,000 • AED 20 for each shareholder with a minimum of AED 25,000 and a maximum of AED 500,000 	
Report for Listed Companies, Official Registrars and Brokers	AED 0.5 / shareholder as a soft copy with a minimum of AED 300 and a maximum of AED 15,000 And / Or AED 200 for the first 20 pages and another AED 20 for each additional page with a maximum of AED 5,000 if a hard copy is requested	
Sharebook Report	AED 0.3 / shareholder as a soft copy with a minimum of AED 500 and a maximum of AED 5,000 And / Or AED 0.5 / shareholder with a minimum of AED 1,000 and a maximum of AED 10,000 if a hard copy is requested	

*Approved Fees List Of
Abu Dhabi Securities Exchange*

Type of Service	Fee (AED)	Comments
Pledge Report	AED 5 / shareholder as a soft copy with a minimum of AED 300 and a maximum of AED 5,000 And / Or AED 200 for the first 20 pages and another AED 20 for each additional page if a hard copy is requested	
Equator Access: <ul style="list-style-type: none"> • Initial Set Up • Access Renewal 	<ul style="list-style-type: none"> • 2,000 / PC • 5,000 / User / Year 	
Custodian Registration	7,000 / Year / User	

Brokerage Firms' Fees:

Type of Service	Fee (AED)	Comments
Broker Application Processing Fee	1,000	
Training	1,000 / Person	Free Emirate nationals
Examination	150 / Person / Exam	Free Emirate nationals
First Time Registration	25,000	Fee includes training & examination for 4 persons
Membership Renewal	125,000 / Year	
<p>Office Rent:</p> <ul style="list-style-type: none"> • Main Branch • Al – Ain • Madinat Zayed • Sharjah • Fujairah • Ras Al Khaimah 	<ul style="list-style-type: none"> • 39,000 - 52,000 / Year • 50,000 / Year • 25,000 / Year • 30,000 / Year • 20,000 / Year • 25,000 / Year 	

Type of Service	Fee (AED)	Comments
Access for Horizon And / Or Equator: <ul style="list-style-type: none"> • Up to 6 users • 7 to 12 users • 13 & up 	<ul style="list-style-type: none"> • Free • 20,000 / Year • 40,000 / Year 	
Market Watch	2,000 / PC / Year	Brokers only
Trading Statistical Report	10 / Trading Day	Free for Students
Trade Correction (Up until T+1)	100	Subject to ADX's approval. The fee is in addition to commission
Broker's ID (Lost, Damaged)	50	